

2 Prospective Dynamics: Pushing the Heuristics into the Proofs

2.1 Proofs and their Explications

2.2 Instructions vs. rules

2.3 Prospective dynamics: idea and examples

2.4 Prospective dynamics: characterization

2.5 Where went *Ex Falso Quodlibet*?

2.6 Some properties of CL^-

2.7 Afterthought

2.1 Proofs and their Explications



CL is claimed to explicate actual proofs, for example in mathematics

This presupposes:

- (1) specific meaning of the logical symbols in those contexts

2.1 Proofs and their Explications



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- (2) correct proofs classified as correct
proofs classified as correct are correct

2.1 Proofs and their Explications



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proofs classified as correct are correct

2.1 Proofs and their Explications



CL is claimed to explicate actual proofs, for example in mathematics

This presupposes:

- (1) specific meaning of the logical symbols in those contexts
not discussed here

- (2) correct proofs classified as correct OK
proofs classified as correct are correct yes, but . . .





Actual proofs:

actually produced

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-
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-
-

result of
search process

actually published

-
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-
-

presentation



Actual proofs:

actually produced

-
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-
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-
-
-
-

result of
search process



skip dead ends
skip detours
skip obvious steps
...

actually published

-
-
-
-
-
-
-

presentation



Actual proofs: result from goal-directed process

actually produced

-
-
-
-
-
-
-
-
-

result of
search process



skip dead ends
skip detours
skip obvious steps
...

actually published

-
-
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-
-

presentation





Neither produced nor published proofs are explicated adequately by **CL**:

CL is too permissive, viz. not goal directed



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CL is too permissive, viz. not goal directed

for example

1	p	Prem
2	$p \vee q$	1; Add
3	$p \vee r$	1; Add
4	$p \vee s$	1; Add
...	...	

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rule: preserves truth

instruction: permission/obligation to apply a rule
(depending on stage of the proof)

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- not goal-directed
- does not explicate actually produced / published proofs
- is border case of procedure

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rule: preserves truth

instruction: permission/obligation to apply a rule
(depending on stage of the proof)

official proof: procedure = rules + universal permission

- not goal-directed
- does not explicate actually produced / published proofs
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some **procedures** explicate actual proofs

2.3 Prospective dynamics: idea and examples



– idea:

if one looks for A

and, e.g., $B \supset A$ was derived

then look for B

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– idea:

if one looks for A

and, e.g., $B \supset A$ was derived

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– pushing (part of) the heuristics in the proof:

if one looks for A

and, e.g., $B \supset A$ was derived

then derive $[B] A$

indicating that one should look for B

(given the premises, obtaining B is sufficient to obtain A)



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$





$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1 $[q] q$ Goal



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

- | | | |
|---|------------|------|
| 1 | $[q] q$ | Goal |
| 2 | $t \vee q$ | Prem |



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

- | | | |
|---|--------------|-------------|
| 1 | $[q] q$ | Goal |
| 2 | $t \vee q$ | Prem |
| 3 | $[\sim t] q$ | 2; $\vee E$ |



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

- | | | | |
|---|--------------|-------|-----------|
| 1 | $[q] q$ | Goal | |
| 2 | $t \vee q$ | Prem | |
| 3 | $[\sim t] q$ | 2; VE | $\sim t $ |



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

- | | | | |
|---|-----------------------------|-------------|-----------|
| 1 | $[q] q$ | Goal | |
| 2 | $t \vee q$ | Prem | |
| 3 | $[\sim t] q$ | 2; $\vee E$ | $\sim t $ |
| 4 | $p \supset (q \vee \sim r)$ | Prem | |



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	



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1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	
8	$r \wedge s$	Prem	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	



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2	$t \vee q$	Prem	
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6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	



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1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	R^{11}
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	R^{11}
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	
12	$[r] q$	11; $\vee E$	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	R^{11}
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	
12	$[r] q$	11; $\vee E$	
13	r	8; $\wedge E$	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	R^{11}
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R^{10}
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	
12	$[r] q$	11; $\vee E$	
13	r	8; $\wedge E$	
14	q	12, 13; Trans	



$t \vee q, p \supset (q \vee \sim r), r \wedge s, s \supset p \vdash q$

1	$[q] q$	Goal	R ¹⁴
2	$t \vee q$	Prem	
3	$[\sim t] q$	2; $\vee E$	$\sim t $
4	$p \supset (q \vee \sim r)$	Prem	
5	$[p] q \vee \sim r$	4; $\supset E$	R ¹¹
6	$s \supset p$	Prem	
7	$[s] p$	6; $\supset E$	R ¹⁰
8	$r \wedge s$	Prem	
9	s	8; $\wedge E$	
10	p	7, 9; Trans	
11	$q \vee \sim r$	5, 10; Trans	
12	$[r] q$	11; $\vee E$	R ¹⁴
13	r	8; $\wedge E$	
14	q	12, 13; Trans	



Incidentally:

algorithm: prospective proofs \Rightarrow Fitch-style proofs

1	$p \supset (q \vee \sim r)$	Prem
2	$s \supset p$	Prem
3	$r \wedge s$	Prem
4	s	3; Sim
5	p	2, 4; MP
6	$q \vee \sim r$	1, 5; MP
7	r	3; Sim
8	q	6, 7; DS



$$\sim p \vee q \vdash p \supset q$$





$\sim p \vee q \vdash p \supset q$

1 $[p \supset q] p \supset q$ Goal



$\sim p \vee q \vdash p \supset q$

- 1 $[p \supset q] p \supset q$ Goal
- 2 $[q] p \supset q$ 1; $\supset E$



$\sim p \vee q \vdash p \supset q$

- 1 $[p \supset q] p \supset q$ Goal
- 2 $[q] p \supset q$ 1; $\supset E$
- 3 $\sim p \vee q$ Prem



$\sim p \vee q \vdash p \supset q$

- | | | |
|---|-----------------------------|----------------|
| 1 | $[p \supset q] p \supset q$ | Goal |
| 2 | $[q] p \supset q$ | 1; $\supset E$ |
| 3 | $\sim p \vee q$ | Prem |
| 4 | $[p] q$ | 3; $\vee E$ |



$\sim p \vee q \vdash p \supset q$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $



$\sim p \vee q \vdash p \supset q$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $\supset E$	



$\sim p \vee q \vdash p \supset q$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $\supset E$	
6	$[\sim q] \sim p$	3; $\vee E$	



$\sim p \vee q \vdash p \supset q$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $\supset E$	$\sim p $
6	$[\sim q] \sim p$	3; $\vee E$	$\sim q $



$$\sim p \vee q \vdash p \supset q$$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $C\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $C\supset E$	$\sim p $
6	$[\sim q] \sim p$	3; $\vee E$	$\sim q $
7	$[p] p \supset q$	2, 4; Trans	

obtain the Goal on all non-redundant conditions



$$\sim p \vee q \vdash p \supset q$$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $C\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $C\supset E$	$\sim p $
6	$[\sim q] \sim p$	3; $\vee E$	$\sim q $
7	$[p] p \supset q$	2, 4; Trans	$p $

obtain the Goal on all non-redundant conditions



$$\sim p \vee q \vdash p \supset q$$

1	$[p \supset q] p \supset q$	Goal	
2	$[q] p \supset q$	1; $C\supset E$	$q $
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $C\supset E$	$\sim p $
6	$[\sim q] \sim p$	3; $\vee E$	$\sim q $
7	$[p] p \supset q$	2, 4; Trans	$p $
8	$p \supset q$	5, 7; EM	

obtain the Goal on all non-redundant conditions



$\sim p \vee q \vdash p \supset q$

1	$[p \supset q] p \supset q$	Goal	R^8
2	$[q] p \supset q$	1; $C\supset E$	$q R^8$
3	$\sim p \vee q$	Prem	
4	$[p] q$	3; $\vee E$	$p $
5	$[\sim p] p \supset q$	1; $C\supset E$	$\sim p R^8$
6	$[\sim q] \sim p$	3; $\vee E$	$\sim q $
7	$[p] p \supset q$	2, 4; Trans	$p R^8$
8	$p \supset q$	5, 7; EM	

obtain the Goal on all non-redundant conditions

2.4 Prospective dynamics: characterization

Rules (prospective proof for $\Gamma \vdash G$)

Goal To introduce $[G] G$.

Prem To introduce A for an $A \in \Gamma$.

Trans
$$\frac{[\Delta \cup \{B\}] A \quad [\Delta'] B}{[\Delta \cup \Delta'] A}$$

EM
$$\frac{[\Delta \cup \{B\}] A \quad [\Delta' \cup \{\sim B\}] A}{[\Delta \cup \Delta'] A}$$



Note: the complement of a formula:



if A has the form $\sim B$, then $*A = B$

otherwise $*A = \sim A$

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if A has the form $\sim B$, then $*A = B$

otherwise $*A = \sim A$

$$*p = \sim p$$

$$*\sim p = p$$

$$*\sim\sim p = \sim p$$

$$**p = p$$

$$**\sim p = \sim p$$

$$**\sim\sim p = p$$





α	α_1	α_2		β	β_1	β_2
$A \wedge B$	A	B		$\sim(A \wedge B)$	$*A$	$*B$
$A \equiv B$	$A \supset B$	$B \supset A$		$\sim(A \equiv B)$	$\sim(A \supset B)$	$\sim(B \supset A)$
$\sim(A \vee B)$	$*A$	$*B$		$A \vee B$	A	B
$\sim(A \supset B)$	A	$*B$		$A \supset B$	$*A$	B
$\sim\sim A$	A	A				

Formula analysing rules:

$$\frac{[\Delta] \alpha}{[\Delta] \alpha_1 \quad [\Delta] \alpha_2} \quad \frac{[\Delta] \beta}{[\Delta \cup \{*\beta_2\}] \beta_1 \quad [\Delta \cup \{*\beta_1\}] \beta_2}$$



α	α_1	α_2		β	β_1	β_2
$A \wedge B$	A	B		$\sim(A \wedge B)$	$*A$	$*B$
$A \equiv B$	$A \supset B$	$B \supset A$		$\sim(A \equiv B)$	$\sim(A \supset B)$	$\sim(B \supset A)$
$\sim(A \vee B)$	$*A$	$*B$		$A \vee B$	A	B
$\sim(A \supset B)$	A	$*B$		$A \supset B$	$*A$	B
$\sim\sim A$	A	A				

Formula analysing rules:

$$\frac{[\Delta] \alpha}{[\Delta] \alpha_1 \quad [\Delta] \alpha_2} \qquad \frac{[\Delta] \beta}{[\Delta \cup \{*\beta_2\}] \beta_1 \quad [\Delta \cup \{*\beta_1\}] \beta_2}$$

Example:

$$\frac{[\Delta] p \wedge q}{[\Delta] p \quad [\Delta] q} \qquad \frac{[\Delta] p \vee q}{[\Delta \cup \{\sim q\}] p \quad [\Delta \cup \{\sim p\}] q}$$





α	α_1	α_2		β	β_1	β_2
$A \wedge B$	A	B		$\sim(A \wedge B)$	$*A$	$*B$
$A \equiv B$	$A \supset B$	$B \supset A$		$\sim(A \equiv B)$	$\sim(A \supset B)$	$\sim(B \supset A)$
$\sim(A \vee B)$	$*A$	$*B$		$A \vee B$	A	B
$\sim(A \supset B)$	A	$*B$		$A \supset B$	$*A$	B
$\sim\sim A$	A	A				

Condition analysing rules:

$$\frac{[\Delta \cup \{\alpha\}] A}{[\Delta \cup \{\alpha_1, \alpha_2\}] A} \qquad \frac{[\Delta \cup \{\beta\}] A}{[\Delta \cup \{\beta_1\}] A \quad [\Delta \cup \{\beta_2\}] A}$$



α	α_1	α_2		β	β_1	β_2
$A \wedge B$	A	B		$\sim(A \wedge B)$	$*A$	$*B$
$A \equiv B$	$A \supset B$	$B \supset A$		$\sim(A \equiv B)$	$\sim(A \supset B)$	$\sim(B \supset A)$
$\sim(A \vee B)$	$*A$	$*B$		$A \vee B$	A	B
$\sim(A \supset B)$	A	$*B$		$A \supset B$	$*A$	B
$\sim\sim A$	A	A				

Condition analysing rules:

$$\frac{[\Delta \cup \{\alpha\}] A}{[\Delta \cup \{\alpha_1, \alpha_2\}] A} \qquad \frac{[\Delta \cup \{\beta\}] A}{[\Delta \cup \{\beta_1\}] A \quad [\Delta \cup \{\beta_2\}] A}$$

Example:

$$\frac{[\Delta \cup \{q \wedge r\}] p}{[\Delta \cup \{q, r\}] p} \qquad \frac{[\Delta \cup \{q \vee r\}] p}{[\Delta \cup \{q\}] p \quad [\Delta \cup \{r\}] p}$$



The permissions and obligations



positive part:

1. $pp(A, A)$.
2. $pp(A, \alpha)$ if $pp(A, \alpha_1)$ or $pp(A, \alpha_2)$.
3. $pp(A, \beta)$ if $pp(A, \beta_1)$ or $pp(A, \beta_2)$.

The permissions and obligations



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A line with second element $[\Delta] A$ is marked as a **dead end** iff an element of Δ is not a pp of any premise.

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A line with second element $[\Delta] A$ is marked as a **redundant** iff

- (i) $A \in \Delta$ (not the Goal line) or
- (ii) a line with second element $[\Delta'] A$ occurs and $\Delta' \subset \Delta$.

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1. $pp(A, A)$.
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- (ii) a line with second element $[\Delta'] A$ occurs and $\Delta' \subset \Delta$.

more marks possible (e.g., inconsistent paths)

The **target** is the first formula in the condition of the last unmarked line. (alternatives possible)



Phase 1:

- start with Goal rule
- apply FAR only to formula of line that has Prem-line in its path
- derive $[B_1, \dots, B_n] A$ by FAR only if target is pp of A
- next, introduce a new premise A iff target is pp of A
- apply CAR only to target A after Prem and FAR are exhausted
- apply Trans only if Δ' is empty

Phase 2:

- only: new $[\Delta] G$ by EM, Trans or CAR from R-unmarked lines
- next return to phase 1

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 - ★ adequate w.r.t. CL -semantics if restricted to consistent Γ



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In problem-solving processes, \mathbf{CL}^- need to be applied.



A semantics (Suszko: every logic has a 2-valued semantics)

$v : \mathcal{W} \mapsto \{0, 1\}$ is a partial function

1. if $v(A) \in \{0, 1\}$ and $\text{sub}(B, A)$, then $v(B), v(*B) \in \{0, 1\}$
2. if $v(A \wedge B) = 1$ then $v(A) = 1$ and $v(B) = 1$.
3. if $v(A \wedge B) = 0$ then $v(A) = 0$ or $v(B) = 0$.
4. if $v(A \equiv B) = 1$ then $v(A \supset B) = 1$ and $v(B \supset A) = 1$.
5. if $v(A \equiv B) = 0$ then $v(A \supset B) = 0$ or $v(B \supset A) = 0$.
6. if $v(\sim(A \vee B)) = 1$ then $v(*A) = 1$ and $v(*B) = 1$.
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23. if $v(\sim(A \equiv B)) = 0$ then $v(\sim(A \supset B)) = v(\sim(B \supset A)) = 0$.
24. if $v(A) = 0$ then $v(*A) = 1$.





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and for which B is determined,

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PM: three valued truth-functional semantics



Theorem

If $[A_1, \dots, A_n]B$ is derived in a pCL^- -proof for $\Gamma \vdash G$,
then $v(B) = 1$
whenever $v(A_1) = \dots = v(A_n) = 1$ and $v(B) \in \{0, 1\}$.

Corollary

If G is derived in a pCL^- -proof for $\Gamma \vdash G$,
then $\Gamma \vDash_{\text{CL}^-} G$. (Soundness)

Theorem

If a prospective proof for $\Gamma \vdash G$ halts without G being derived,
then $\Gamma \not\vDash_{\text{CL}^-} G$. (Completeness)



Note: Tableau method

$$\frac{TA \wedge B}{\begin{array}{c} TA \\ TB \end{array}} \qquad \frac{TA \vee B}{\begin{array}{c|c|c} F*A & TA & F*B \\ \hline & TB & \end{array}}$$

etc. (read off from semantic clauses)



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avoiding EFQ requires avoiding:

- Addition or Disjunctive Syllogism
- $A / B \supset A$ or $\sim A \supset (B \wedge \sim B) / A$
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However:

That EFQ cannot be isolated in CL

depends on our view on logic (mere rules vs. procedures).



The upgrade to predicate logic (minus EFQ):

is straightforward

if the procedure stops (not for all Γ and A),

with A derived: then $\Gamma \vdash_{\text{CL}} A$

with A not derived: then $\Gamma \not\vdash_{\text{CL}} A$

2.7 Afterthought



Hintikka:

distinction between rules and heuristics

comparison with game of chess

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This is a mistake:

- heuristic reasoning leads to sensible proofs
- part of this reasoning can be pushed into the (object-language) proofs



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in other words:

sensibility can be incorporated into truth